

REGISTERED <input type="checkbox"/> NO <input type="checkbox"/> YES	(Check One) <input type="checkbox"/> TRUSTEE NAME <input type="checkbox"/> LENDING INSTITUTION	(Check One) <input type="checkbox"/> TRUSTEE ACCOUNT # <input type="checkbox"/> LOAN #	OFFICE USE ONLY
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<b>CLIENT A</b> <input type="checkbox"/> Trustee <input type="checkbox"/> Contributor <input type="checkbox"/> Beneficiary <input type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS. <input type="checkbox"/> DR. <input type="checkbox"/> COMPANY _____ LAST NAME _____ FIRST NAME & INITIALS _____ SOCIAL INSURANCE NUMBER _____ DATE OF BIRTH <u>MMDDYY</u>	<b>CLIENT B</b> <input type="checkbox"/> Trustee <input type="checkbox"/> Contributor <input type="checkbox"/> Beneficiary <input type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS. <input type="checkbox"/> DR. <input type="checkbox"/> COMPANY _____ LAST NAME _____ FIRST NAME & INITIALS _____ SOCIAL INSURANCE NUMBER _____ DATE OF BIRTH <u>MMDDYY</u>	<b>CLIENT C</b> <input type="checkbox"/> Trustee <input type="checkbox"/> Contributor <input type="checkbox"/> Beneficiary <input type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS. <input type="checkbox"/> DR. <input type="checkbox"/> COMPANY _____ LAST NAME _____ FIRST NAME & INITIALS _____ SOCIAL INSURANCE NUMBER _____ DATE OF BIRTH <u>MMDDYY</u>
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STREET ADDRESS _____	RESIDENCE TELEPHONE NUMBER _____	MANDATORY PIP PLAN ID# _____
_____	BUSINESS TELEPHONE NUMBER _____	_____
CITY _____ PROVINCE _____ POSTAL CODE _____	E-MAIL ADDRESS _____	MANDATORY MOST RECENT CLIENT UPDATE COMPLETED <u>MMDDYY</u>

<input type="checkbox"/> REGISTERED <input type="checkbox"/> RSP <input type="checkbox"/> LIRA <input type="checkbox"/> LIF <input type="checkbox"/> RRIF <input type="checkbox"/> GROUP <input type="checkbox"/> RESP <input type="checkbox"/> OTHER _____	<input type="checkbox"/> SPOUSAL CONTRIBUTOR <input type="checkbox"/> JOINT TENANCY WITH RIGHT OF SURVIVORSHIP (Trustee & Contibutor)	<input type="checkbox"/> TENANTS IN COMMON (Trustee & Contibutor) <input type="checkbox"/> ITF (Trustee ITF Beneficiary)	<input type="checkbox"/> PURCHASE OF NEW MUTUAL FUNDS <input type="checkbox"/> ADDITIONAL PURCHASE OF MUTUAL FUNDS <input type="checkbox"/> ADDITIONAL PURCHASE OF SEGREGATED FUNDS
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<input type="checkbox"/> TRANSFER <input type="checkbox"/> T2033 <input type="checkbox"/> TD2 <input type="checkbox"/> T2151 <input type="checkbox"/> CHEQUE FROM: _____ EST. AMOUNT (\$) _____
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FUND CODE	FUND NAME	NEW ACCT	EXISTING ACCT NO.	DSC	FE OPTION	AMOUNT (Dollars / Percentage)	WO#

<b>TRADING AUTHORIZATION:</b> Has a limited trading authorization been provided on this account? (Attach signed copy if applicable)	<input type="checkbox"/> YES <input type="checkbox"/> NO
<b>LEVERAGING:</b> A high risk strategy. The client has been provided with the required leveraging disclosure document? (Attach signed copy if applicable)	<input type="checkbox"/> YES <input type="checkbox"/> NO

COMMENTS \_\_\_\_\_  
 \_\_\_\_\_  
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**CLIENT ACKNOWLEDGEMENT**

I/WE THE UNDERSIGNED HEREBY ACKNOWLEDGE:

- I/WE acknowledge receipt of a current prospectus.
- I/WE have been informed that there is risk associated with these investments and that the value of these investments will fluctuate.
- If any of these investments are used for the purpose of producing regular income, payment of this income may represent in part a return of capital. Any withdrawal in excess of net income and capital appreciation may result in a depletion of the invested capital.
- I/WE understand the charges, terms and conditions and provisions stated herein, which apply to the purchase for which the application is made.
- I/WE have received the dealer compensation disclosure statement described on the reverse of the client copy of this application.
- I/WE understand that the investment instructions indicated above will be executed upon receipt of these instructions by Partners in Planning Financial Services Ltd. and that a delay in establishing price per share or number of shares purchased may result due to mail or courier delivery.

**MAKE CHEQUE PAYABLE TO "PARTNERS IN PLANNING IN TRUST" OR THE PLAN TRUSTEE "IN TRUST"**

SIGNED AT _____ THIS _____ DAY OF _____ 20 _____			
REPRESENTATIVE SIGNATURE GUARANTEE _____	SIGNATURE OF APPLICANT _____		
REPRESENTATIVE NAME (Please Print) _____	DEALER _____ REP NUMBER _____ SIGNATURE OF JOINT APPLICANT _____		

## **DEALER COMPENSATION DISCLOSURE STATEMENT**

**PARTNERS IN PLANNING FINANCIAL SERVICES LTD.** is an independent dealer authorized to solicit and place purchase orders for securities of mutual funds sponsored by many different fund organizations. We are also licensed to act as a life insurance broker and deposit broker for G.I.C.'s. In performing our services on your behalf, we may be eligible to receive compensation from one or more sources, as described below. In recommending a mutual fund purchase to you it is our responsibility to ensure that the fund selected is suitable for your specific investment objectives, your financial position and the level of risk you are willing to assume, regardless of the nature or source of compensation we will receive from the purchase transactions.

A summary of fund expenses, investor expenses and all dealer compensation offered is required to be set out on the inside front cover of each fund prospectus, with such items more fully explained in the text of the prospectus. We recommend that you read the prospectus carefully before investing and retain it for future reference, together with all other information pertaining to your investment provided by our company or by the fund company.

The following information summarizes the various categories of dealer compensation available to distributors of mutual fund and segregated funds:

### **(A) Front-Load Funds**

Funds which are sold on a sales charge basis ("front-load funds") require the deduction of a sales commission from the amount of your purchase order. The net amount of your investment is then invested in securities of the fund at net asset value.

### **(B) Deferred-Load Funds**

Funds which are sold without an initial sales charge ("deferred-load fund") require no deduction from the amount of your purchase order at the time of purchase, but your investment may be subject to a redemption charge if the securities which you purchased are redeemed within a specified time after purchase. Under the deferred charge method of purchase, the distributing dealer receives a sales commission at the time of purchase arranged by the fund sponsor.

### **(C) Service Fees / Trailers**

Service fees are generally paid by a fund sponsor to a dealer so long as that dealer's clients remain invested in the fund. Service fees encourage dealers to provide on-going services to their clients after the date of purchase, for which no sales commission would otherwise be received. Some fund sponsors do not pay service fees to dealers.

### **(D) Other Sales Incentives**

Many fund sponsors also provide additional sales incentives compensation to dealers to promote distribution of their sponsored funds. Common sales incentives include: marketing support programs providing for the reimbursement of advertising or promotional expenses incurred in the solicitation of fund sales; sales conferences and educational seminar programs held at locations in Canada or the continental United States. All sales incentives must conform to the standards set out in the National Instrument 81-105 adopted by the provincial securities commissions. A copy can be obtained by writing the applicable provincial securities commission.

It is my wish that all documents relating to the Plan have been drawn up in the English language only. C'est mon désir que tout document se rapportant au régime (Plan) soient rédigés en anglais seulement.

If you have any questions concerning this disclosure statement, please speak with your sales representative or their branch manager or provincial compliance officer.

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SIGNATURE

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DATE