TD Mutual Funds

Successful Investing. Worldwide.

Transfer Authorization for Registered Investments

(Spousal RSP, RSP, LIRA, LRSP, RIF, Spousal RIF, LRIF and LIF) This form can be used for RSP to RSP transfers (except for transfers due to death). **Please note: The data entered on this form may be scanned and stored electronically. Please print neatly in the spaces provided to ensure completeness, accuracy and machine readability.**

A: Client Identification

Account/Policy Holder Last Name | First Name | Initial

Address

City | Province | Postal Code

Home Telephone Number ( ) | Business Telephone Number ( ) | Social Insurance Number

B: Receiving Institution

FOR MUTUAL FUND TRANSFERS ONLY

TD Mutual Funds †®
TD Asset Management Inc.
c/o TD External Shareholder Services
Canadian Pacific Tower, TD Centre
100 Wellington St. West, 24th Floor
Toronto, ON, M5K 1A2 **TOLL FREE: 1-800-588-8054**

Client Account Number

Dealer Account Number

Dealer Name | Dealer Number

Agent Name | Agent Number

Business Telephone Number ( ) | Business Fax Number ( )

INVESTMENT INSTRUCTIONS

Fund Code	Name	% or $*

*Use dollar ($) amount only if exact proceeds of transfer are known.

Registered Type:
- ☐ RSP
- ☐ RIF
- ☐ Spousal RSP
- ☐ Spousal RIF
- ☐ LIRA
- ☐ LRSP
- ☐ LIF
- ☐ LRIF
- ☐ Other

Jurisdiction: Locked-In Accounts Legislation
- ☐ Federal
- ☐ Provincial

Province: ____________

C: Client Direction to Relinquishing Institution

Relinquishing Institution Name

Group Plan Number (if applicable)

Address

Client Account Number

City | Province | Postal Code

Transfer: (check one box only)
- ☐ All in cash*
- ☐ All as is (in Kind)
- ☐ All assets*, but mixed in Cash and as is (in Kind), see list below or attached list
- ☐ Partial* - See list below or attached list

****Please refer to statement in bold in Client Authorization section below.***

		Investment Amount / Investment Description	Symbol and/or Certificate Number or Policy Number	FOR USE BY RELINQUISHING INSTITUTION: Delay Delivery Until
☐ In Kind or ☐ In Cash	☐ Dollars ☐ Shares/Unit	Investment Amount Investment Description	Symbol and/or Certificate Number or Policy Number	Delay Delivery Until DD/MM/YYYY
☐ In Kind or ☐ In Cash	☐ Dollars ☐ Shares/Unit	Investment Amount Investment Description	Symbol and/or Certificate Number or Policy Number	Delay Delivery Until DD/MM/YYYY
☐ In Kind or ☐ In Cash	☐ Dollars ☐ Shares/Unit	Investment Amount Investment Description	Symbol and/or Certificate Number or Policy Number	Delay Delivery Until DD/MM/YYYY

D: Client Authorization

I hereby request the transfer of my account and its investments as described above.

PLEASE CANCEL ALL OPEN ORDERS (G.T.C./SWP/ PAC, ETC.) FOR MY ACCOUNTS(S) ON YOUR BOOKS.

***WHERE I HAVE REQUESTED A TRANSFER IN CASH, I AUTHORIZE THE LIQUIDATION OF ALL OR PART OF MY INVESTMENTS AND AGREE TO PAY ANY APPLICABLE FEES, CHARGES OR ADJUSTMENTS.**

Signature of Account Holder | Date

E: For Use By Relinquishing Institution Only

Registered Type: ☐ RSP ☐ LIRA ☐ LRSP ☐ RIF: ☐ Qualified ☐ Non Qualified ☐ LRIF ☐ LIF ☐ Other

Spousal Plan: ☐ No ☐ Yes – if yes:

Contributor's Last Name | First Name | Initial | Social Insurance Number

Locked-In: ☐ No ☐ Yes – Locked-In confirmation attached | Locked-In Funds $

Contact Name

Telephone Number ( ) | Fax Number ( )

Authorized Signature | Date

† TD Mutual Funds are offered by TD Asset Management Inc., a wholly-owned subsidiary of The Toronto-Dominion Bank.